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Source: AMF website / the AMF policy into force
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Source: AMF website / the AMF policy into force
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DOC-2015-05 Major Asset Disposals and Acquisitions
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DOC-2010-15 AMF supplementary report on corporate governance, executive compensation and internal control – Mid-caps and small-caps governed by the Middlenext corporate governance code of December 2009
DOC-2010-16 Reference framework for risk management and internal control systems

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DOC-2020-03 Information to be provided by collective investment schemes incorporating non-financial approaches
DOC-2018-05 Requirements for stress test scenarios under article 28 of the european money market fund regulation
DOC-2012-12 A guide to fees
DOC-2011-25 A guide to the monitoring of collective investment undertakings
DOC-2021-01 Performance fees in UCITS and certain types of AIFs
DOC-2020-08 Requirements for liquidity stress testing in UCITS and AIFs
DOC-2011-05 A guide to regulatory documents governing collective investment undertakings
DOC-2008-14 Fund performance swaps and actively managed investment structures
DOC-2011-15 Calculation of global exposure for authorised UCITS and AIFs
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DOC-2012-15 Criteria applicable to "philanthropic" collective investments
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DOC-2013-06 ETFs and other UCITS issues

II. 1.3. Specific provisions applicable to CISs open to all subscribers

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DOC-2013-16 Key concepts of the Alternative Investment Fund Managers Directive

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Source : AMF website / the AMF policy into force
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DOC-2014-06 Guide to the organisation of the risk management system within asset management companies
DOC-2009-29 Questions-Réponses sur le dispositif de vérification du niveau de connaissances minimales des acteurs de marché
DOC-2021-04 Compliance function requirements
DOC-2007-24 Q&A on the organisational rules of investment services providers
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DOC-2014-07 Guide to best execution
DOC-2019-12 Professional obligations of investment services providers to retail clients with regard to third-party portfolio management
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DOC-2008-04 Application of business conduct rules to marketing of units or shares in UCITS or AIFs by asset management companies, management companies or managers
DOC-2007-02 Investment decision and order execution support services
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Source : AMF website / the AMF policy into force
III. 2.4. Legal entities managing certain Other AIFs

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DOC-2013-22 Frequently asked questions on the transposition of the AIFM Directive into French law

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DOC-2019-24 Digital assets service providers - Cybersecurity system of requirements (version 1.0)
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DOC-2018-02 Marketing of crowdfunding offers, calculation of default rates and run-off management of platforms

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DOC-2022-03 MIFID II appropriateness and execution-only requirements
DOC-2020-04 Requirements applicable to professional associations of financial investment advisors
DOC-2013-07 Requirements relating to the professional competence of financial investment advisers, the updating of their knowledge, and reporting to the AMF on their activity and that of associations
DOC-2006-23 Questions and answers on the rules that apply to financial investment advisers
DOC-2008-23 Questions and answers on the concept of investment service of investment advice
DOC-2012-08 Placement services and marketing of financial instruments
DOC-2017-07 Future performance simulations
DOC-2018-04 Guidelines on MIFID II product governance requirements
DOC-2018-03 Placing of financial instruments without a firm commitment basis, investment advice and consultancy services provided to firms in relation to capital structure, industrial strategy and mergers and acquisitions
DOC-2017-08 Position/Recommendation supplementing Position 2013-02 on collecting know-your-client information
DOC-2012-07 Complaint handling

Source : AMF website / the AMF policy into force
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DOC-2011-24 Guide to drafting marketing communications and distributing collective investments and SOFICA fund
DOC-2010-05 Marketing of complex financial instruments
DOC-2014-04 Guide to UCITS, AIF and other investment fund marketing regimes in France
DOC-2014-03 Procedure for marketing units or shares of AIFs
DOC-2014-02 Disclosure to investors in unauthorised or undeclared AIFs
DOC-2017-06 Procedure for preparing and registering an information document that must be filed with the AMF by intermediaries in miscellaneous assets

DOC-2008-04 Application of business conduct rules to marketing of units or shares in UCITS or AIFs by asset management companies, management companies or managers

DOC-2013-12 Requirement to offer a guarantee (of the formula and/or capital, as appropriate) for structured UCITS and AIFs, "guaranteed" UCITS and AIFs and structured debt securities issued by special purpose entities and marketed to the general public

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DOC-2020-02 Clarifications regarding the notion of trading venue, applicable in particular to financial instruments registered in a distributed ledger

DOC-2017-12 Position limits for commodity derivatives traded on Euronext

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DOC-2009-07 On the file to be submitted to the AMF by a CSD or a SSS manager, within the framework of the prior approval application to the AMF for allowing an institution to open an account with the CSD or take part in the securities settlement system

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