



## Book III - Service providers

### Title I - Investment services providers

#### Chapter III - Financial instrument governance requirements

##### Section 1 - Financial instrument governance obligations for manufacturers

### General regulation of the AMF

#### Article 313-8 into force since 03 January 2018

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#### Article 313-8

The manufacturer shall ensure that senior managers mentioned as applicable in points 1° and 2° of Articles L. 533-25 and L. 511 51 of the Monetary and Financial Code or in Article R. 123-40 of the Commercial Code have effective control over the financial instrument governance process.

It shall ensure that compliance reports to the senior managers mentioned in the previous subparagraph include information about the financial instruments manufactured by it, including information on the distribution strategy for these instruments.

It shall make the reports available to the AMF on request.

➤ **Version into force since 3 January 2018**

