



Book III - Service providers

Title I - Investment services providers

Chapter II - Organisational rules

Section 4 - Professional licences

Sub-section 2 - Professional licences issued by the AMF

General regulation of the AMF

Article 312-35 into force since 03 January 2018

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Article 312-35

If it deems that the conditions referred to in Article 312-30 have been met, the jury shall propose that the AMF issue a professional license.

However, if the jury deems that the applicant has the necessary qualities to perform the function of compliance officer for investment services but that the investment services provider does not grant him proper independence or does not provide him with adequate resources, the jury may propose that the issuance of a professional license be subject to the condition that the investment services provider remedies the situation and notifies the AMF of the measures taken for this purpose.

If outsourcing of the function of compliance officer for investment services is planned, the jury may be asked for its opinion.

⚡ **Version into force since 3 January 2018**