



Book III - Service providers

Title I - Investment services providers

Chapter II - Organisational rules

Section 4 - Professional licences

Sub-section 1 - General provisions

General regulation of the AMF

Article 312-28 into force since 03 January 2018

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Article 312-28

The AMF shall keep a register of professional licences.

For this purpose, the person issuing or revoking the professional license referred to in 1°, 2°, 3° and 4° of Article 312 20 shall notify the AMF of the identities of the persons whose licenses are issued or revoked within one month.

The AMF shall be notified of the appointments of the compliance officers referred to in 3° of Article 312-20.

The information in the register of professional licences shall be retained for ten years after licences have been revoked.

↘ **Version into force since 3 January 2018**